

Financial Institution Services

Briggs & Veselka Co. CPAs and Business Advisors

We know financial institutions. You can bank on that.

In this ever-changing competitive and regulatory landscape, managing risk has become increasingly more complex and vital to the governance of organizations. Regulatory and public expectations have established additional burdens on management personnel to produce a control environment where assets are safeguarded and processes are documented.

It has never been more important to choose an accounting and consulting firm with credibility, expertise and superior service. Briggs & Veselka has experienced professionals to assist in identifying and addressing risk and compliance related issues as well as the expertise to provide cost-effective, tailored solutions.

Why Briggs & Veselka?

We take the time to understand your business.

Specialized skills. Relevant experience. Industry knowledge and savvy. These traits have built the reputation of your company. You know your business, and your CPA firm should too.

As a decision maker in a highly competitive and regulated industry, you realize that the world of banking is more complex than ever. That's why at Briggs & Veselka, we have assembled a team with vast banking experience, ranging from branch operations to mergers and acquisitions – and everything in between. We have a significant client base of financial institutions, providing everything from financial statement audits to regulatory compliance. When changes occur locally or nationally, we make it our business to know about them.

Through proven, risk-focused methodologies and practices, we assist organizations in strengthening and improving the effectiveness of corporate governance, risk management and the internal control process.

We know our business.

Growing companies need the assurance that their advisors can not only handle their expanding and changing needs, but also the assurance that their work will be done correctly and delivered on time. We understand those needs.

Today's business environment is constantly changing, and that's why we do more than provide accurate and timely information. We also act as a liaison to ensure your needs and the needs of your customers, regulators, and management are efficiently and effectively met. Knowing our business and yours makes us a solid team – a team that can help ensure a solid foundation for the future of your financial institution.

We emphasize dedication to client service and delivery of a high-quality, high value product. At Briggs & Veselka, we take the approach of proactively managing quality from the beginning of the project when priorities and expectations are established. Our quality management approach focuses on delivering superior client service in addition to quality products and processes.



Experience Counts.



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Choosing a CPA firm is only one of the many decisions you make for your business, but it can be one of the most important. Take a look at the Financial Institution Services offerings and let us know where you need help. We'll be happy to sit down with you and identify the best strategies to meet your needs and help you reach your goals.

Financial Services

- ▶ Internal Audit Outsourcing/Co-sourcing
- ▶ Regulatory Compliance Audits
- ▶ Bank Secrecy Act (BSA) Audits
- ▶ Loan Review
- ▶ Asset Liability Management/
Interest Rate Risk Audits
- ▶ ACH Audits
- ▶ Sarbanes Oxley (SOX) Compliance
- ▶ Consulting Services
- ▶ De Novo Application Process Assistance
- ▶ Development of Policies and Procedures
- ▶ Fraud Investigation
- ▶ Trust Audits
- ▶ Enterprise-Wide Risk Assessment

Audit Services

- ▶ Financial Statement Audit
- ▶ Director's Examination
- ▶ Supervisory Audits
- ▶ SAS 70 Engagements
- ▶ 401(k), Pension, Health/Welfare Audits

Technology & Risk Management

- ▶ FFIEC (Federal Financial Institutions Examination Council) Information Technology (IT) Review
- ▶ Penetration Test & Network Vulnerability Scans
- ▶ Risk Assessments
- ▶ System Conversion Consulting

Tax Services

- ▶ Federal Tax Returns
- ▶ State Tax Returns
- ▶ Estimated Payments
- ▶ Consulting

Contact David Phelps or Terry Sherrill at 713.667.9147 to learn more about our Financial Institution Services.

Visit us online at bvccpa.com

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